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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

**PATENT APPLICATION**

Inventors: Cook, et al.

SC/Serial No.: 09/287,521

Filed: April 7, 1999

Title: **SYSTEM FOR DESIGNING AND RENDERING  
PERSONALITIES FOR AUTONOMOUS  
SYNTHETIC CHARACTERS**



Art Unit: 2772

Examiner: Not Assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on June 29, 1999.

  
John W. Carpenter, Reg. No. 39,129  
Signature Date: June 29, 1999

(Attorney Signature)

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated \_\_\_\_\_, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for Patent Application
- ☐ Assignment and Assignment Recordation Form Cover Sheet
- ☐ Power of Attorney
- ☐ Statement(s) of small entity status
- ☐ Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts

☐ Information Disclosure Statement under 37 C.F.R. §1.56

☐ Preliminary Amendment

**Patent Application Filing Fee**

The patent application filing fee (if applicable) is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Large Entity
Basic Fee		\$380.00 \$760.00 = \$0
Total Claims <u>      </u> ** - 20 = <u>      </u> * X		\$ 9.00 \$ 18.00 = \$0
Independent Claims <u>      </u> ** - 3 = <u>      </u> * X		\$ 39.00 \$ 78.00 = \$0
First Presentation of Multiple Dependent Claim(s) <u>      </u>		\$130.00 \$260.00 = \$0
Total PATENT APPLICATION FILING FEE:		\$0

\* If the difference is less than zero, enter "0".

\*\* Count claims after any amendment.

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

<input type="checkbox"/> Patent application filing fee	\$
<input type="checkbox"/> Net fee for extension of time	\$
<input type="checkbox"/> Assignment recording fee (\$40.00)	\$
<input checked="" type="checkbox"/> Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:	
<input checked="" type="checkbox"/> Large Entity \$130.00	\$130.00
<input type="checkbox"/> Small Entity \$ 65.00	\$
TOTAL FEE DUE:	<u>\$130.00</u>

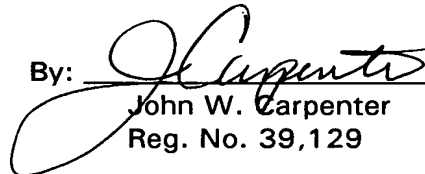
**Method of Payment of Fees**

- ☒ A check in the amount of the TOTAL FEE DUE is enclosed.
- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 6/29/99

By: \_\_\_\_\_

  
John W. Carpenter  
Reg. No. 39,129

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

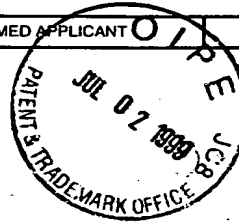
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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ok  
rc

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/287,521	04/07/99	COOK	FUJI-XERX101

0232/0429

MARTIN C FLIESLER  
FLIESLER DUBB MEYER & LOVEJOY  
FOUR EMBARCADERO CENTER  
SUITE 400  
SAN FRANCISCO CA 94111-4156



NOT ASSIGNED

2772

DATE MAILED:

04/29/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.77(b), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*TRUMBROWN*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

07/06/1999 MAILBOX 1  
01 FC:105  
00000016 09287521  
U.S. GPO: 1998-446-824